



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

LUMPKIN

SERIAL NO.:

09/753,978

FILED:

JANUARY 3, 2001

TITLE:

FLOATING CABLE STOP FOR A

CABLE ACTUATED BICYCLE

COMPONENT

Mail Stop ____ Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

EXAMINER: KIM, CHONG

ART UNIT: 3682

CONFIRMATION NO.: 1708

RECEIVED

MAY 1 4 2003

GROUP 3600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being submitted under 37 CFR § 1.97(c). This Statement is filed after three months from the filing date of the national application, after three months from the date of entry into national stage under 37 CFR § 1.491, after the mailing of a first Office Action on the merits, or after the mailing of a first Office Action after the filing of a requested for continued examination under 37 CFR § 1.114, but <u>before</u> the mailing date of any of:

- (a) a final action under 37 CFR § 1.113;
- (b) a notice of allowance under 37 CFR § 1.311; or
- (c) an action that otherwise closes prosecution in the application.

Certification under 37 CFR § 1.97(e)(1)

The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this

> 37 CFR 1.8 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop ____, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Signatur

Elizabeth A McArthur

Information Disclosure Statement.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees associated with this document to Deposit Account No. 19-5117.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

Thomas D. Bratschun, # 32,966

Swanson & Bratschun, L.L.C.

1745 Shea Center Drive, Suite 330

Highlands Ranch, Colorado 80129

303-268-0066

303-268-0065 (FAX)

cc: Wayne Lumpkin S:\CLIENT FOLDERS\AVID\13\13-3\IDS 04.DOC